

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

THE COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

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In re the patent application of: Daniel Schoeffler  
For: METHOD OF ENABLING TRANSMISSION AND RECEPTION OF  
COMMUNICATION WHEN CURRENT DESTINATION FOR  
RECIPIENT IS UNKNOWN TO SENDER  
Filed: May 3, 2001  
Application No.: 09/848,737  
Art Unit: 2171  
Attorney Docket No.: SDA-1



SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

List of Sections Forming Part of This Information Disclosure Statement

The following sections are being submitted for this information Disclosure Statement

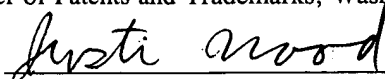
1. ☒ Preliminary Statements
2. ☒ FORM PTO - 1449 (Modified)
3. ☐ Statement As To Information Material To Examination Not Found in Patents or Publications
4. ☐ Identification of Prior Application In Which Listed Information Was Already Cited and For Which No Copies Are Submitted Or Need Be Submitted.
5. ☐ Cumulative patents or Publications
6. ☒ Copies of Listed Information Items Accompanying This Statement
7. ☐ Concise Explanation of Non-English Language Listed Information Items.
8. ☐ Translation(s) of Non-English Language Documents
9. ☒ Certification under MPEP 609(e)
10. ☒ Identification of Person(s) Making This Information Disclosure Statement

CERTIFICATE OF MAILING

[X] Certified Mail No: 7001 1940 0001 4327 3839

Date: May 6, 2002

I hereby certify that this correspondence is being deposited with the United States Postal Service, return receipt requested in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231 on this date.

  
Justin Wood



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## Section 1. Preliminary statements

Applicant submits herewith patents, ~~trademark~~ information or other information of which he is aware, which he believes may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.56(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

## Section 2. Form PTO - 1449 (Modified) (SEE ATTACHMENT)

\*EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw a line through citation if not in conformance or not considered. Include a copy of this form with the next communication to applicant.

## Section 3. Statement As To Information Material For Examination Not Found in Patents or Publications (Information not listed in PTO 1449)

## Section 4. Identification of Prior Application in Which Listed Information Was Already Cited and For Which No Copies Are Submitted Or Need Be Submitted

## Section 5. Cumulative Patents or Publications

☐ Item(s)

are cumulative of the following patents or publication listed on Form PTO 1449 (modified):

In accordance with 37 CFR 1.98(c) a copy of \_\_\_\_\_ is being submitted with this information disclosure statement.

## Section 6. Copies of Listed Information Items Accompanying This Statement

Legible copies of all items listed accompany this information statement.

☐ Exception(s) to above:

☐ Items in prior application from which an earlier filing date is claimed for this application as identified in Section 4.

☐ Cumulative patents or publications identified in Section 5.

## Section 7. Concise Explanation of Non-English Language Listed Information Items

## Section 8. Translation(s) of Non-English Language Documents

☐ Submitted herewith is an English translation of the following foreign language patents, publications or information or of those portions of those patents, publications or information considered to be material:

☐ No English language translations of the foreign language patents, publications or information or parts thereof are readily available, except for those listed above.

☐ The following foreign language documents submitted are believed to be the equivalent or

substantial equivalent of the English language documents identified below, which are also submitted herewith.

### Section 9. Certification under Rule 1.97

☒ The undersigned hereby certifies that:

a. This Statement is being filed after the latest of (1) three months after the filing date of a national application; (2) three months after the date of entry of the national stage as set forth in w 1.491 in an international application; (3) the mailing date of a first Office action on the merits.

b. The fee set forth in §1.17(p)

☐ Is being paid with this Information Disclosure Statement

☒ Is not due because:

☒ (1) Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement, or

☐ (2) No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the statement.

### Section 10. IDENTIFICATION OF PERSON(S) MAKING THIS INFORMATION DISCLOSURE STATEMENT

The person making this statement is

(a) ☐ the inventor(s) who signs below

(b) ☒ the attorney who signs below on the basis of:

☐ the information supplied by the inventor(s)

☐ an individual associated with the filing and prosecution of this application (37 CFR 1.56(c)).

☒ the information in the attorney's file

  
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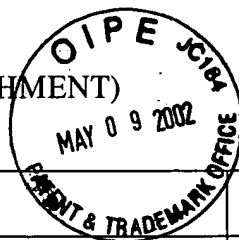
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## Section 2. Form PTO - 1449 (Modified) (ATTACHMENT)

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|                                                                                |                                |                          |
|--------------------------------------------------------------------------------|--------------------------------|--------------------------|
| FORM PTO-1449 U.S. DEPT. OF COMMERCE<br>(Modified) PATENT AND TRADEMARK OFFICE | ATTY DOCKET NO.<br>SDA-1       | SERIAL NO.<br>09/848,737 |
|                                                                                | APPLICANT<br>Daniel Schoeffler |                          |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT                                  | FILING DATE<br>05/3/01         | GROUP<br>2171            |

## U.S. PATENT DOCUMENTS

| Exam<br>Initial |    | DOCUMENT<br>NUMBER | DATE     | PATENTEE       | CLASS | SUB  | FILING DATE<br>IF APPROPR |
|-----------------|----|--------------------|----------|----------------|-------|------|---------------------------|
|                 | AN | 5,329,578          | 07/12/94 | Brennan et al. | 379   | 67   |                           |
|                 | AO | 6,009,082          | 12/28/99 | Caswell et al. | 370   | 276  |                           |
|                 | AP | 6,052,438          | 04/18/00 | Wu et al.      | 379   | 67.1 |                           |
|                 | AQ | 6,026,153          | 02/15/00 | Fuller et al.  | 379   | 200  |                           |

## FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

| Exam<br>Initial |    | DOCUMENT<br>NUMBER | DATE     | COUNTRY | CLASS | SUB   | TRANSLATION<br>YES   NO |
|-----------------|----|--------------------|----------|---------|-------|-------|-------------------------|
|                 | AR | WO 99/40527        | 08/12/99 | PCT     | G06F  | 17/60 |                         |
|                 |    |                    |          |         |       |       |                         |

## OTHER PRIOR ART

|                 |  |                                           |
|-----------------|--|-------------------------------------------|
| Exam<br>Initial |  | Author, Title, Date, Pertinent Pages, Etc |
|                 |  |                                           |
| EXAMINER        |  | DATE CONSIDERED                           |
|                 |  |                                           |
|                 |  |                                           |

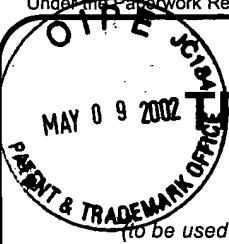
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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

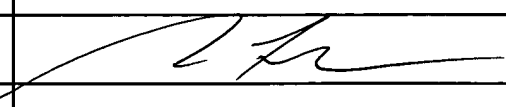
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

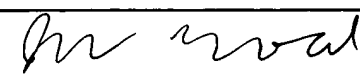
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|                                                                                                                                                                                                                                     |                      |                   |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------|-------------------|
|  <h1 style="text-align: center;">TRANSMITTAL FORM</h1> <p style="text-align: center;">(to be used for all correspondence after initial filing)</p> | Application Number   | 09/848,737        |
|                                                                                                                                                                                                                                     | Filing Date          | 05/03/2001        |
|                                                                                                                                                                                                                                     | First Named Inventor | Daniel Schoeffler |
|                                                                                                                                                                                                                                     | Group Art Unit       | 2171              |
|                                                                                                                                                                                                                                     | Examiner Name        |                   |
| Total Number of Pages in This Submission                                                                                                                                                                                            |                      | 5                 |
| Attorney Docket Number                                                                                                                                                                                                              |                      | SDA-1             |

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| ENCLOSURES (check all that apply)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br><div style="text-align: center; font-size: 1.2em;">5 References</div> <div style="text-align: center;">Postcard</div> |
| <div style="border: 1px solid black; padding: 5px; min-height: 50px;">             Remarks           </div>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |                                                                                     |
|--------------------------------------------|-------------------------------------------------------------------------------------|
| Firm or Individual name                    | Brown & Michaels, PC                                                                |
| Signature                                  |  |
| Date                                       | 5-6-02                                                                              |

| CERTIFICATE OF MAILING                                                                                                                                                                                                                   |                                                                                     |             |        |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|-------------|--------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: |                                                                                     |             |        |
|                                                                                                                                                                                                                                          |                                                                                     | May 5, 2002 |        |
| Typed or printed name                                                                                                                                                                                                                    | Justin Wood                                                                         |             |        |
| Signature                                                                                                                                                                                                                                |  | Date        | 5/5/02 |

**Burden Hour Statement:** This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.